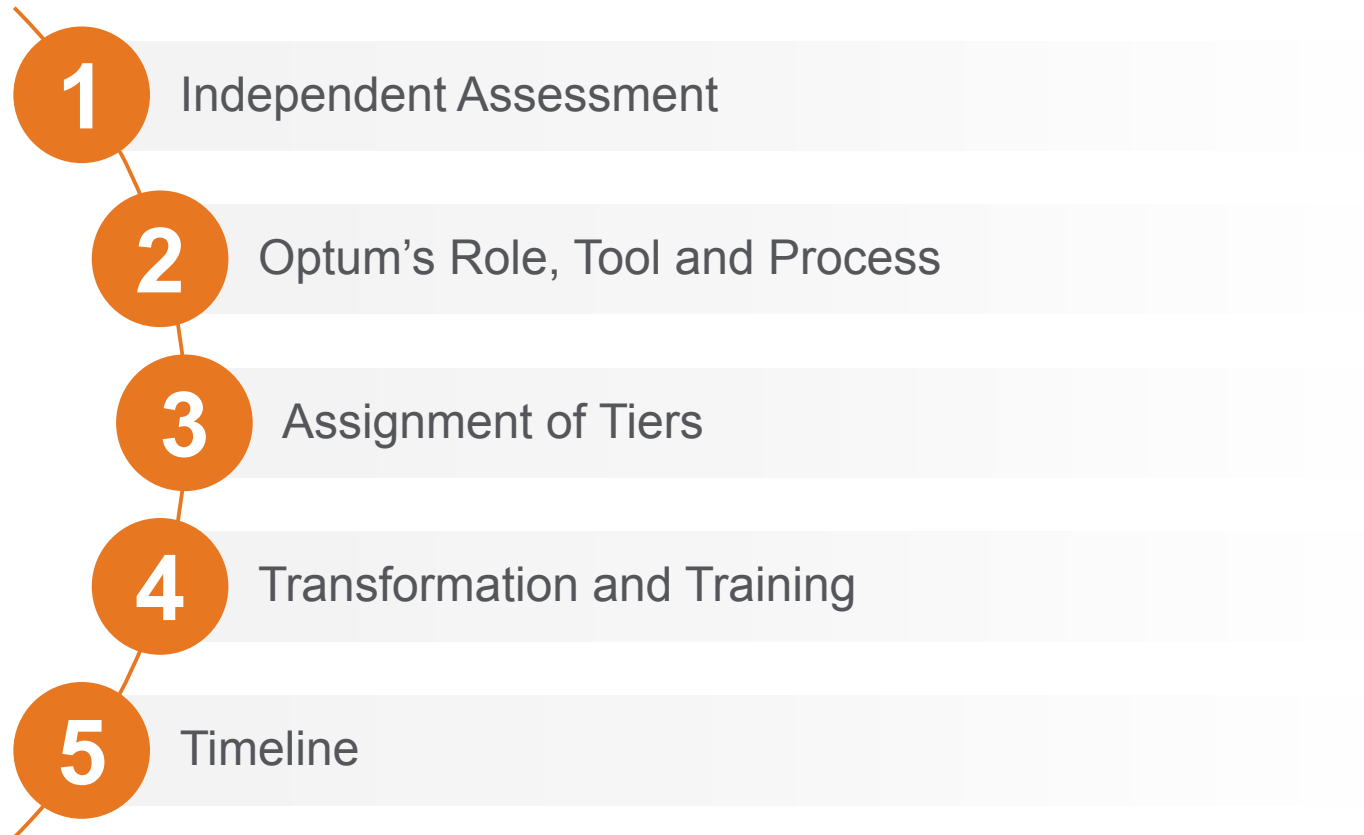


Arkansas Independent Assessment



Purpose: Provide an Overview of:



Medicaid Transformation and Assessments

Why adopt an Independent Assessment (IA) System:

- Provide the key entry point into the five home and community based service waivers
- Identify individuals with high needs receiving behavioral health services
- Support Care Coordination, starting 10/1/17, managed by the Provider-led Arkansas Shared Savings Entities (PASSEs)
- Support Managed Care on 1/1/19 when PASSEs assume full risk

The IA system includes three basic parts:

- A comprehensive functional assessment tool
- Qualified individuals accurately, objectively and reliably administering the tool
- An IT platform to collect and report data across all population groups and programs

Optum's Role

The Divisions of Aging and Adult Services (DAAS), Behavioral Health Services (DBHS) and Developmental Disability Services (DDS) will provide Optum with the list of beneficiaries that need to receive an Independent Assessment.

- Appropriate licensed medical professionals will provide any known diagnoses.

The Optum team will conduct a functional assessment to determine eligibility for services based upon a person's individual needs.

- Administer the Independent Assessment
- Develop and maintain a single, standardized information technology (IT) platform that supports the Independent Assessment and Tier Determinations
- Provide transformation support and training for both providers and State staff

Who will receive an Independent Assessment?

DAAS

Medicaid beneficiaries seeking Home and Community Based Services (HCBS) waiver services, personal care, or Programs of All-inclusive Care for the Elderly (PACE) services

DBHS

Medicaid beneficiaries in need of various amounts and intensities of behavioral health services

DDS

Medicaid beneficiaries determined to have a developmental disability and seeking waiver or Intermediate Care Facility (ICF) services, Child Health Management Services (CHMS) or Developmental Day Treatment Clinic Services (DDTCS) services

Who will conduct Independent Assessments?

DAAS

DAAS assessments will be conducted by Registered Nurses with experience in aging issues.

DBHS

DBHS assessments will be conducted by persons with either a four-year degree or a Registered Nurse, both with at least one year of experience with mental health issues.

DDS

DDS assessors must have two years of experience and meet the qualifications of a Qualified Developmental Disability Professional (QDDP).

Assessment Tool – MnCHOICES

Why MnCHOICES?

- Person centered, not program centered
- Configured for Arkansans
- Developed and refined over ten years by State of MN

Why one tool for three populations?

- Assesses functional needs regardless of an individual's diagnosis
- Supports development of person-centered plans across programs and services

Why automation?

- Branching logic allows assessors to explore issues based upon beneficiary response
- Prompts, scoring and resulting tier recommendation provide consistent administration



Domains in Independent Assessment

Person Information

Quality of Life

Activities of Daily Living (ADLs)

- Eating, Bathing, Dressing, Personal Hygiene, Toileting, Mobility, Positioning, Transfers

Instrumental ADLs

- Medication, Meals, Transportation, Housework, Telephone, Shopping, Finances

Health

Memory and Cognition

Sensory and Communication

Safety/Self-Preservation

Caregiver

Psychosocial

- Self-injury, Physically Aggressive, Verbally Aggressive, Social Unacceptability, Property Destruction, Wandering, Legal Involvement, Emotional Regulation, PICA, Victimization, Withdrawal, Agitation, Impulsivity, Intrusiveness, Injury to Others, Anxiety, Psychotic or Manic Behaviors, Depression (PHQ-9), Geriatric Depression, Pediatric Symptoms (PSC-17), Suicide, Alcohol, Substance (Cage), Tobacco, Gambling

Employment, Volunteering and Training

Housing and Environment

Self Direction

Assessment Process



Psychosocial Domain Examples

AGGRESSIVE TOWARDS OTHERS, VERBAL/GESTURAL

Person engages in, or would without an intervention, the use language verbally, through written words or symbols non-verbally through facial expressions, gestures or signs which threaten psychological, emotional or physical harm towards others.

- ☐ No
- ☐ Yes

If 'Yes' was selected, the following questions will be displayed:

Check all that apply:

- ☐ Attempts to intimidate through aggressive gestures with no physical contact
- ☐ Goadng
- ☐ Intimidation/Staring
- ☐ Manipulative - verbal/gestural
- ☐ Resistive to care
- ☐ Swears at others
- ☐ Taunting/Teasing
- ☐ Verbal threats

Intervention: Support and/or services provided by staff and/or caregiver

- ☐ Requires no intervention
- ☐ Needs interventions in the form of cues – responds to cues
- ☐ Needs redirection - responds to redirection
- ☐ Needs behavior management or instruction - resists redirection/intervention
- ☐ Needs behavior management or instruction - physically resists intervention

Frequency of intervention needed:

- ☐ None
- ☐ Less than weekly
- ☐ One time per week
- ☐ Two times per week
- ☐ Three times per week
- ☐ 4 or more times per week but not daily
- ☐ Daily

Example of Generic Domain Scoring

~~~~~ MENTAL HEALTH DOMAIN ~~~	
PICA Score 0	Self-Preservation Score 30
Injurious to Self Score 0	Suicide Screen Message
	Suicide Screen Score 0
Intrusiveness Score 7	
Aggressive Toward Others, Physical-Score 2	Homicidal_Score 4
Aggressive Toward Others, V/G - Score 5	
Injury to Others Score 4	PHQ Total 4
Socially Unacceptable Behavior Score 0	PHQ_Determination None
Property Destruction Score 3	
Wandering/Elopement Score 0	Geriatric Depression Score 0
Difficulties Regulating Emotions Score 6	Geriatric Depression Determination NA
Susceptibility to Victimization Score 0	
Withdrawal score 5	Alcohol Use Score 0
Agitation Score 8	Alcohol Use - Cage score 0
Impulsivity Score 0	Substance Abuse Score 0
Anxiety Score 0	Substance Abuse - Cage score 0
Psychotic Behaviors Score 7	Interpretation of Gambling Score
Manic Behavior Scoring 0	
Manic Behaviour Diversion Score 0	Legal Involvement Score 7

# Tiers as described in the RFP

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DAAS



## Preventative

Requires supervision for ADLs, extensive assistance on IADLs, would benefit from a package of preventative services to delay or avert more costly services within 12 months.



## Intermediate

Limited or extensive needs in ADLs, cognitive and behavioral challenges requiring ongoing supervision and oversight, or may require skilled care not longer than 21 days.



## Skilled/Institutional Level of Care

Extensive needs in ADLs, cognitive and behavioral challenges requiring ongoing supervision and oversight, or may require skilled care longer than 21 days.

DDS



## Tier One

Beneficiary receives services in a center-based clinic such as DDTCS or CHMS and also receives other Medicaid State Plan services.



## Limited and Extensive

Beneficiary meets Institutional Level of Care criteria but does not need 24 hours a day 7 days a week care.



## Pervasive

Beneficiary meets Institutional Level of Care criteria and needs 24 hours a day 7 days a week care.

# Tiers as described in the RFP

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DBHS



## Counseling

Time-limited behavioral health services provided by qualified licensed practitioners in an outpatient based setting for the purpose of assessing and treating mental health and/or substance abuse conditions. Counseling Level Services settings mean a behavioral health clinic/office, healthcare center, physician office, and/or school.



## Rehabilitative

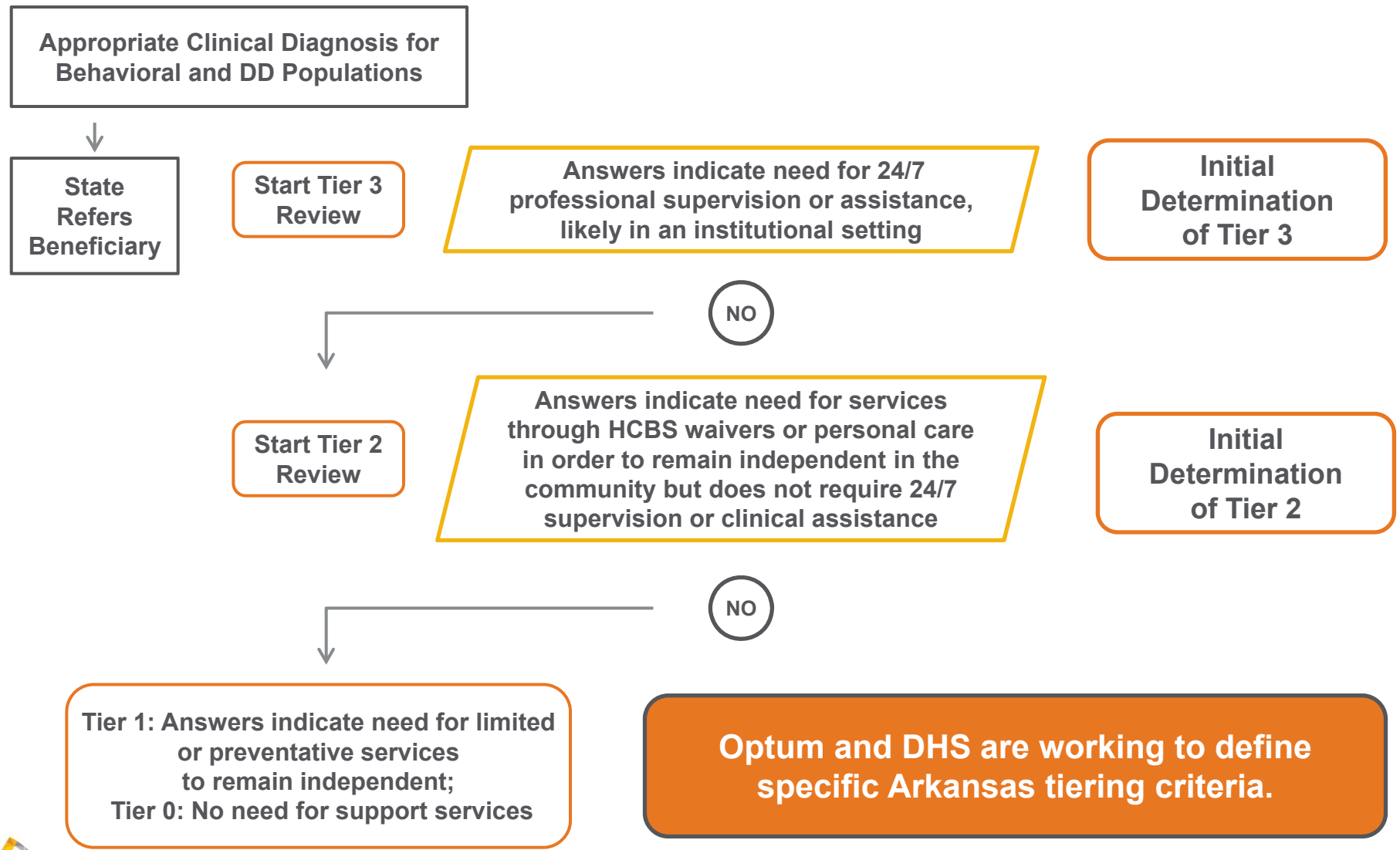
Home and community based behavioral health services with care coordination for the purpose of treating mental health and/or substance abuse conditions; shall include services rendered in a Beneficiary's home, community, behavioral health clinic/ office, healthcare center, physician office, and/ or school; include those provided by professional and paraprofessional staff members and are targeted to the needs of the individual.



## Intensive

The most intensive behavioral health services for the purpose of treating mental health and/or substance abuse conditions. This level of care shall be based upon a referral from a Behavioral Health Agency that is providing Rehabilitative Services to a Beneficiary or the Independent Care Coordination entity. Residential treatment services are available—if deemed medically necessary and eligibility is determined by way of the additional criteria and questions on the standardized Independent Assessment.

# Overview of Generic Tiering Process



# Who gets the results?

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## State Division

Initial tier determination and summary of Independent Assessment  
For review and authorization of service array



## Care Coordinator/Care Planner

Tier determination and summary of Independent Assessment  
Care Coordinator at PASSE and Nurse at DAAS responsible for developing Plan of Care



## Provider

Tier determination and summary of Independent Assessment  
Development and implementation of Plan of Care



## Beneficiary, Guardian, Family

Tier determination and summary of Independent Assessment

# Transformation and Training

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A Provider and State Needs Assessment will be conducted mid-July to inform the training plan and curriculum



Training modalities include: in-person regional trainings, live webinars and recordings for the two years of project rollout and will begin mid-August



For those requiring further support, onsite coaching will be offered following participation in either the in-person training or live webinars for the first year of implementation



# Transformation and Training

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Assessors	Providers	State Staff
<ul style="list-style-type: none"><li>• Training on AR LTSS programs and services</li><li>• MnCHOICES background and overview</li><li>• Battelle Inventory</li><li>• Training and hands on experience to learn how to access and become proficient in using MnCHOICES in the following four areas:<ul style="list-style-type: none"><li>• Access and Navigation</li><li>• Content</li><li>• Practice</li><li>• Tiering Process</li></ul></li></ul>	<ul style="list-style-type: none"><li>• MnCHOICES background</li><li>• MnCHOICES administration and outputs</li><li>• Division Specific Tier Requirements</li><li>• MnCHOICES results to tier determination processes</li></ul>	<ul style="list-style-type: none"><li>• MnCHOICES background</li><li>• MnCHOICES administration and outputs</li><li>• Division Specific Tier Requirements</li><li>• MnCHOICES results to tier determination processes</li><li>• Interfacing with the IT platform (system features, business processes, reporting and navigation)</li></ul>

# First Round – Informational Sessions

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## August 22nd: Little Rock

AFMC Offices

1020 West 4th Street

MPR A & B

## August 23rd: Fort Smith

AFMC Offices

Central Mall, 511 Rogers Ave.

MPR A

## August 28th: Hope

Univ. of Arkansas Hope

2500 South Main

Hempstead Hall

## August 29th: Pine Bluff

Jefferson Regional Medical Ctr.

1600 West 40th Ave.

Henslee Rooms J & R

## August 31st: Jonesboro

St. Bernard's Hospital

505 East Washington

Auditorium

# Questions

For more information:

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